

# East Asia Securities Company Limited

## Charges Schedule and Dealing Practices of China “B” Shares

(Effective 19<sup>th</sup> July, 2021)

	SHANGHAI	SHENZHEN
<b>Trading Hours</b>	Monday-Friday 9:30 a.m. – 11:30 a.m. 1:00 p.m. – 3:00 p.m.	Monday-Friday 9:30 a.m. – 11:30 a.m. 1:00 p.m. – 3:00 p.m.
<b>Currency</b>	USD	HKD
<b>Board Lot (Note 1)</b>	100 shares	100 shares
<b>Settlement Cycle</b>	T+3	T+3
<b><u>Dealing Costs (Note 2)</u></b>		
<b>Commission</b>	0.7% (min. USD40)	0.7% (min. HKD200)
<b>Stamp Duty</b>	Buy: N/A Sell: 0.1%	Buy: N/A Sell: 0.1%
<b>Settlement Fee</b>	0.002% (max. USD50)	0.002% (max. HKD500)
<b>Exchange Levy</b>	0.00687%	0.00687%
<b>Remittance Charges</b>	Buy: Nil Sell: Nil	Buy: Nil Sell: HKD35
<b><u>Other Services</u></b>		
<b>Non-trade Transfer (Note 3)</b>	USD30 per transfer (Charges levied by CSDCC*Shanghai Branch)	HKD50 per transfer (Charges levied by CSDCC*Shenzhen Branch)
• Handling Fee	HKD100 per transfer	HKD100 per transfer
<b>Dividend Collection</b>	0.5% on gross dividend (min. USD10, max. USD300)	0.5% on gross dividend (min. HKD50, max. HKD2,000)
• Remittance Charges	USD32.50/No. of payable customer	HKD35/No. of payable customer
<b>Bonus Issue</b>	HKD100 per transaction	HKD100 per transaction
<b>Rights Subscription</b>	HKD100 per transaction	HKD100 per transaction
• Remittance Charges	USD56.75/No. of customer subscribed	HKD100/No. of customer subscribed

\* China Securities Depository and Clearing Corporation Limited

### Notes

1. The Board Lot size is for reference only. Orders may be partially executed at quantity of less than one board lot.
2. % on gross transaction value unless specified.
3. Normal time required for transfer is two business days.
4. The above trading charges are subject to change from time to time and investors should consult with East Asia Securities Company Limited for amendments, if any.

# 東亞證券有限公司

## 中國 B 股收費表及交易細則

(由二零二一年七月十九日起生效)

	上海	深圳
交易時段	星期一至星期五 上午 9:30 至 11:30 下午 1:00 至 3:00	星期一至星期五 上午 9:30 至 11:30 下午 1:00 至 3:00
貨幣	美金	港幣
交易單位(每手)(附註 1)	100 股	100 股
交收日期	T+3	T+3
<b>交易費用(附註 2)</b>		
佣金	0.7% (最低收費:USD40)	0.7% (最低收費:HKD200)
印花稅	買入: 不適用 賣出: 0.1%	買入: 不適用 賣出: 0.1%
交收費	0.002% (最高收費:USD50)	0.002% (最高收費:HKD500)
交易所徵費	0.00687%	0.00687%
匯費	買入: 不收費 賣出: 不收費	買入: 不收費 賣出: HKD35
<b>其他服務</b>		
股票轉移(附註 3)	每宗收費: USD30 (由結算公司*上海分公司徵收)	每宗收費:HKD50 (由結算公司*深圳分公司徵收)
• 手續費	每宗收費: HKD100	每宗收費: HKD100
代收股息	0.5% (以所得股息計算) (最低收費: USD10, 最高收費: USD300)	0.5% (以所得股息計算) (最低收費: HKD50, 最高收費: HKD2,000)
• 匯費	USD32.50/客戶總人數	HKD35/客戶總人數
代收紅股	每項: HKD100	每項: HKD100
供股	每項: HKD100	每項: HKD100
• 匯費	USD56.75/客戶總人數	HKD100/客戶總人數

\* 中國證券登記結算有限責任公司

### 附註

1. 交易單位只作參考之用。買賣指令盤有可能以不足一個交易單位成交。
2. 除特別指定外，百份比率會按交易總金額計算。
3. 股票轉移一般需時兩個工作天。
4. 以上交易費用會不時作出變更，投資者請向東亞證券有限公司查詢有關更改事宜(如有)。